

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

17387

In Re Application Of: **Ken Knoblock, et al.**

Serial No.

10/761,851

Filing Date

January 21, 2004

Examiner

UNASSIGNED

Group Art Unit

UNASSIGNED

Title: **STABILIZATION OF COCHINEAL DYE IN AQUEOUS SOLUTIONS**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**STABILIZATION OF COCHINEAL DYE IN AQUEOUS SOLUTIONS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Edward W. Grolz

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Edward W. Grolz

Registration No: 33,705

Dated: April 22, 2004

Correspondence Address  
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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant(s):</b>	Ken Knoblock, et al.	<b>Examiner:</b>	Unassigned
<b>Serial No.:</b>	10/761,851	<b>Art Unit:</b>	Unassigned
<b>Filed:</b>	January 21, 2004	<b>Docket:</b>	17387
<b>For:</b>	STABILIZATION OF COCHINEAL DYE IN AQUEOUS SOLUTIONS	<b>Conf. No.:</b>	Unassigned
		<b>Dated:</b>	April 22, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.56, 1.97 and 1.98**

**Sir:**

Applicants, in order to meet their duty of disclosure, set forth at 37 C.F.R. §1.56, submit herewith those references which they deem relevant to the claims of the present application.

The relevant references are as follows:

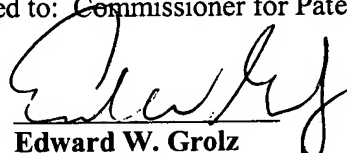
1. U.S. Patent No: 4,613,672 (to Hara)
2. U.S. Patent No: 5,601,863 (to Borden, et al.)
3. U.S. Patent No: 6,630,186 (to Takaichi, et al.)
4. GB Patent No: 2 190 822A
5. JP 02031660

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on April 22, 2004.

**Dated:** April 22, 2004

  
**Edward W. Grolz**

6. JP 2001275611

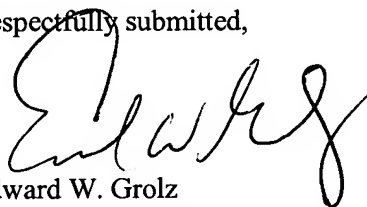
It is noted that in the case of references 5 and 6 identified above, an English abstract is being submitted.

Applicants respectfully request that the above-mentioned references be made of record in the file of the present application. To that end, applicants submit herewith the aforementioned references and the completed Form PTO-1449, setting forth these references.

Applicants submit that the filing of this Information Disclosure Statement is prior to the issuance of an Office Action on the merits of the subject application. Therefore, no Declaration or fee is required to effect the filing of this Information Disclosure Statement.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56.

Respectfully submitted,



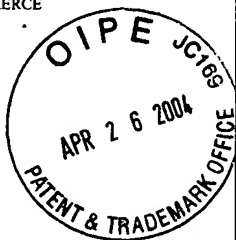
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# **LIST OF PRIOR ART CITED BY APPLICANT**

(Use several sheets if necessary)



**Atty. Docket No.**  
17387

**Serial No.**  
10/761,851

**Applicant**  
Ken Knoblock, et al.

**Filing Date**  
January 21, 2004

**Group**  
Unassigned

## **U.S. PATENT DOCUMENTS**

EXAMINE R INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA	4,613,672	09/23/86	Hara			
	AB	5,601,863	02/11/97	Borden, et al.			
	AC	6,630,186	10/07/03	Takaichi, et al.			
	AD						
	AE						
	AF						
	AG						

		Foreign Document Number	Date	Country	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		GB 2 190 822A	12/02/87	Great Britain			<b>X</b>	
		JP 02031660	02/01/90	Japan				<b>X</b>
		JP 2001275611	10/09/01	Japan				<b>X</b>

## **OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**


**EXAMINER**

**DATE CONSIDERED**

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.